

PATENT
Attorney Docket No. 202.3
Date: January 17, 2002

**COPY OF PAPERS
ORIGINALLY FILED**

In re application of: Patrick T. Prendergast
Patent No.: 09/820,483
Filed: March 29, 2001
For: IMMUNE MODULATION METHOD USING STEROID COMPOUNDS
Examiner: Unknown
Group Art Unit: 1623

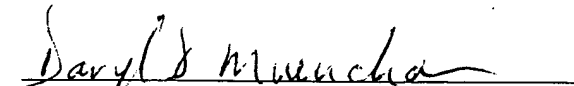
**ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231**

**TRANSMITTAL – RESPONSE TO NOTICE TO
FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

Sir:

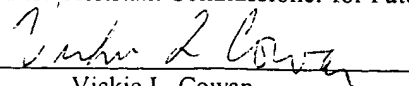
In response to the Notice to File Missing Parts of Nonprovisional Application mailed June 18, 2001, transmitted herewith for filing are original declarations (5 separate documents totaling 20 pages) signed by the inventors for the above-identified application and a request for a five-month extension of time.

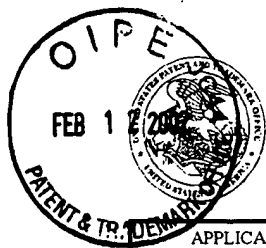
- (X) Please charge the extension fee of \$980.00 (small entity) to Deposit Account 501536. A duplicate copy of this sheet is enclosed.
- (XI) Please charge the late filing fee of \$65.00 to Deposit Account 501536. A duplicate copy of this sheet is enclosed.
- (X) Please charge any additional fees, including any fees for an extension of time, or credit overpayment to Deposit Account 501536. A duplicate copy of this sheet is enclosed.
- (X) Copy of Notice to File Missing Parts of Nonprovisional Application (Part 2)
- (X) Return prepaid postcard. Please stamp and return.
- (X) Please use Customer No. 26,551 for the correspondence address.


Daryl D. Muenchau, Registration No. 36,616
Director, Intellectual Property

Hollis-Eden Pharmaceuticals, Inc.
9333 Genesee Avenue
Suite 200
San Diego, CA 92121
(858) 587-9333

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C., 20231, Box Missing Parts, on January 17, 2002.


Vickie L. Cowan



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/820,483	03/29/2001	Clarence N. Ahlem	202.3

26551
HOLLIS EDEN PHARMACEUTICALS INC.
9333 GENESEE AVENUE, SUITE 200
SAN DIEGO, CA 92121

**COPY OF PAPERS
ORIGINALLY FILED**

CONFIRMATION NO. 5293

FORMALITIES LETTER



OC000000006196810

Date Mailed: 06/18/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

min
Hollis-Eden Pharmaceuticals, Inc.

9333 Genesee Avenue, Suite 200

San Diego, CA 92121

Telephone: 858 587 9333

Fax: 858 558 6470



**COPY OF PAPERS
ORIGINALLY FILED**

HOLLISEDEN
PHARMACEUTICALS
Serving Humanity

Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING BY "FIRST-CLASS MAIL"

Attorney Docket No. : 202.3

Serial No. : 09/820,483

Applicant(s) : Clarence N. Ahlem, et al.

For : Immune Modulation Method Using Steroid Compounds

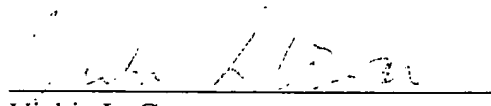
Attorney : Daryl D. Muenchau, Registration No. 36,616

Date of Deposit : January 17, 2002

I hereby certify that the accompanying

Transmittal in Response to Notice to File Missing Parts of Nonprovisional Application (in duplicate), with concurrent request for five-month extension of time; Declarations signed by Inventors (5 originals totaling 20 pages); Copy of Notice to File Missing Parts of Nonprovisional Application (Part 2); and Return Postage Prepaid Postcard

are being deposited with the United States Postal Service "First-Class Mail" service on the date indicated above and are addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231.


Vickie L. Cowan